

11/12/01

12-18-01

A

Please type a plus sign (+) inside this box →

PTO/SB/05 (12/97)

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	PD111	Total Pages	55
	First Named Inventor or Application Identifier			
	DELRIEU			
	Express Mail Label No.	EL695228809US		

<p align="center">APPLICATION ELEMENTS</p> <p><i>See MPEP chapter 600 concerning utility patent application contents.</i></p>	<p>TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</p>
--	--

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages [47]]
3. ☒ Drawing(s) (35 USC 113) [Total Sheets [5]]
4. ☒ Oath or Declaration [Total Sheets [3]]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed) [Note Box 5 below]
 - i. ☐ DELETION OF INVENTOR(S) Signed statement attached deleting Inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies


ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73 (b) Statement *(when there is an assignee)* ☐ Power of Attorney
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
14. ☒ Small Entity Statement(s) ☒ Statement filed in prior application. Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Other: a) Make the references on the parent application(s) of record herein *(see MPEP 2001.06(b))*.
b)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/431,742

18. CORRESPONDENCE ADDRESS

 00545 PATENT TRADEMARK OFFICE	Anthony H. Handal Reg. No. 26,275 Roger Pitt Reg. No. 46,996 Phone: (203) 838-8589 Fax: (203) 838-8794
--	---

FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

Complete if Known

FEE TRANSMITTAL FOR FY 2001 <i>Patent fees are subject to annual revision.</i>		Application Number	Unknown
		Filing Date	Herewith
		First Named Inventor	DELRIEU
		Examiner Name	Unknown
		Group / Art Unit	Unknown
Total Amount of Payment	(\$577.00)	Attorney Docket No.	PD111

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **08-0570** Deposit Account Name: **Handal & Morofsky**

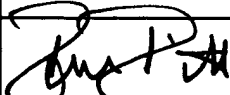
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 or any other rule for this and any future communications on this application.

2. ☒ Payment Enclosed: ☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE:																														
Large Entity		Small Entity																												
Fee Code	Fee(s)	Fee Code	Fee(s)	Fee Description	Fee Paid																									
101	740	201	370	Utility filing fee	\$370.00																									
106	330	206	165	Design filing fee																										
107	510	207	255	Plant filing fee																										
108	740	208	370	Reissue filing fee																										
114	160	214	80	Provisional filing fee																										
SUBTOTAL (1)					\$370.00																									
2. EXTRA CLAIM FEES:																														
<table> <tr> <td></td> <td></td> <td>Extra</td> <td>Fee from</td> <td>Fee</td> </tr> <tr> <td></td> <td></td> <td>Claims</td> <td>below</td> <td>Paid</td> </tr> <tr> <td>Total Claims</td> <td>[43] -20**</td> <td>= [23]</td> <td>x [9]</td> <td>= [207]</td> </tr> <tr> <td>Independent Claims</td> <td>[2] -3**</td> <td>= [0]</td> <td>x []</td> <td>= []</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td>= []</td> <td>x []</td> <td>= []</td> </tr> </table>								Extra	Fee from	Fee			Claims	below	Paid	Total Claims	[43] -20**	= [23]	x [9]	= [207]	Independent Claims	[2] -3**	= [0]	x []	= []	Multiple Dependent		= []	x []	= []
		Extra	Fee from	Fee																										
		Claims	below	Paid																										
Total Claims	[43] -20**	= [23]	x [9]	= [207]																										
Independent Claims	[2] -3**	= [0]	x []	= []																										
Multiple Dependent		= []	x []	= []																										
** or number previously paid, if greater; For Reissues, see below																														
Large Entity		Small Entity																												
Fee Code	Fee(s)	Fee Code	Fee(s)	Fee Description	Fee Paid																									
103	18	203	9	Claims in excess of 20	\$207.00																									
102	84	202	42	Independent claims in excess of 3																										
104	280	204	140	Multiple dependent claim, if not paid																										
109	84	209	42	**Reissue independent claims over original patent																										
110	18	210	9	** Reissue claims in excess of 20 and over original patent																										
SUBTOTAL (2)					(\$207.00)																									

Application Number: Unknown Filing Date: Herewith

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee(s)	Fee Code	Fee(s)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$)
SUBMITTED BY				Complete (if applicable):	
Typed or Printed Name	Anthony H. Handal/ Roger Pitt			Reg. Number	26,275/46,996
Signature			Date	November 12, 2001	Deposit Acct User ID 080570

HANDAL & MOROFSKY

Counsellors at Law

80 Washington Street
Norwalk, Connecticut 06854

Paul Morofsky 1926-1986

Telephone: 203-838-8589
Facsimile: 203-838-8794

District of Columbia Office
American Center - Tysons Corner
Suite 500
8300 Boone Boulevard
Vienna, Virginia 22182

November 12, 2001

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: Pascal DELRIEU and Li DING
New U.S. Patent Application
(Continuation of USAN 09/431,742 Filed: November 1, 1999)
Attorney Docket No. PD111
"METHOD AND APPARATUS FOR MANUFACTURING GEL BEADS"

SIR:

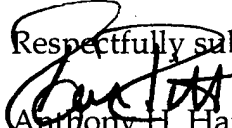
We enclose herewith:

- ☒ Utility Patent Application Transmittal Letter;
- ☒ Fee Transmittal Form;
- ☒ New U.S. Patent Application including Specification (42 pp),
Claims (4 pp) and Abstract (1 pp);
- ☒ Declaration (Copy from Parent Application No. 09/431,742)
- ☒ Preliminary Amendment;
- ☒ Five (5) Sheets of Drawings;
- ☒ Information Disclosure Statement;
- ☒ ~~Request for Non Publication;~~
- ☒ Check for \$577.00 (See Fee Transmittal);
- ☒ Acknowledgment Postcard.

Information Disclosure Statement: Please find the references for the Information Disclosure Statement in lite of grandparent application no. 08/850,167, Patent No. 5,961,990.

The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 08-0570. Applicant hereby petitions under 37 CFR 1.136 or other applicable rule to have the response period extended the number of months necessary to render the attached communication timely if a petition is required.

Respectfully submitted,


Anthony H. Handal
Reg. No. 26,275
Roger Pitt
Reg. No. 46,996

Express Mail Label No.
EL695228809US